

06-07-01

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**Box Patent Application** 

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	v.
Attorney Docket No. 004688.P026  (maximum 12 characters)  First Named Inventor Dan Kikinis	JC872 U
Title: INTERACTIVITY USING VOICE COMMANDS  Express Mail Label No. EL672751986US	
ADDRESS TO: Assistant Commissioner for Patents	

L		Washington, D. C. 20231
		ELEMENTS pter 600 concerning utility patent application contents.
1.	<u>X</u>	Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)
2.	<u>x</u>	Applicant Claims Small Entity Status. (37 CFR 1.27)
3.	<u>X</u>	Specification (Total Pages) (preferred arrangement set forth below) - Descriptive Title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure
4.	_X_	Drawings(s) (35 USC 113) (Total Sheets)
5.	<u>x</u>	Oath or Declaration (Total Pages <u>5</u> )
		a Newly Executed (Original or Copy)
		b Copy from a Prior Application (37 CFR 1.63(d)) (for Continuation/Divisional with Box 17 completed)
		i. <u>DELETIONS OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
		c. x Unsigned.
6.		Application Data Sheet. (37 CFR 1.76)
7.		CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8.	(if applicable,	Nucleotide and/or Amino Acid Sequence Submission , all necessary) Computer Readable Form (CRF)
	b	Specification Sequence Listing on: iCD-ROM or CD-R (2 copies); or iipaper
	c. d.	Statement verifying identity of above copies

PTO/SB/17(11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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D. Power of Attorney		ACCOMPANYING APPLICATION PARTS
11 English Translation Document (if applicable)  12 a. Information Disclosure Statement (IDS)/PTO-1449  13 Preliminary Amendment  14 Request prosted (MPEP 503) (Should be specifically itemized)  15 Certified Copy of Priority Document(s) (if foreign priority is claimed)  16 Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.  17 Cother: Copy of Postcard with Express Mail Certificate of Mailing  18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite Information:  Continuation Divisional Continuation-In-part (CIP)  Of Prior Application No.: Examiner Group Art Unit		
12. X a. Information Disclosure Statement (IDS)/PTO-1449 X b. Copies of IDS Citations 13. Preliminary Amendment 14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. X Other: Copy of Postcard with Express Mail Certificate of Mailling 18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  Continuation Of Prior Application No.: Continuation Of Prior Application Of P	<del></del>	b. Power of Attorney
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13. Preliminary Amendment  14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  15. Certified Copy of Priority Document(s) (if foreign priority is claimed)  16. Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.  17. X Other: Copy of Postcard with Express Mail Certificate of Mailing  18a. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  Continuation Divisional Continuation-In-part (CIP)  Of Prior Application No.: Examiner Group Art Unit (which is a continuation/ divisional/ CIP of prior application no. Its entire disclosure of the prior application, from which an continuation/ divisional/ CIP of prior application no. Its entire disclosure of the prior application, from which an continuation application and the declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  18B. Statement under 37 CFR 3.73(b) for continuing application: The undersigned states that Interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reef. No. Frame No.  (or a copy of which is attached).  19. Correspondence Address  Customer Number or Bar Code Label or (Insert Customer No. or Attach Bar Code Label here)  X Correspondence Address  Seventh Floor  CITY Los Angeles STATE California ZIP CODE 90025-1026  Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-9397  Name (PRINTLYPE): Jobb Wald Bar September 1903 FAX (408) 720-9397	12. <u>X</u>	a. Information Disclosure Statement (IDS)/PTO-1449
14 Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15 Certified Copy of Priority Document(s) (if foreign priority is claimed) 16 Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17 X Other: Copy of Postcard with Express Mail Certificate of Mailing  18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  Continuation Divisional Continuation-In-part (CIP)  Of Prior Application No.: Examiner Group Art Unit	<u>X</u>	b. Copies of IDS Citations
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16 Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.  17X Other: Copy of Postcard with Express Mail Certificate of Mailing	14.	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
form PTO/SB/35 or its equivalent.  17. X Other: Copy of Postcard with Express Mail Certificate of Mailing  18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  Continuation Divisional Continuation-in-part (CIP) Of Prior Application No.: Examiner Group Art Unit (which is a continuation/ divisional/ CIP of prior application no. ) (List entire chem of priority) Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.  Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.  For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relled upon when a portion has been inadvertently omitted from the submitted application parts.  18B. Statement under 37 CFR 3.73(b) for continuing application:  The undersigned states that  The undersigned states that  In undersigned states that  In the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No.  Frame No.  (or a copy of which is attached).  19. Correspondence Address  Customer Number or Bar Code Label or (Insert Customer No. or Attach Bar Code Label here)  X Correspondence Address Below  NAME John P. Ward  BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  ADDRESS 12400 Wilshire Boulevard  Seventh Floor  CITY Los Angeles STATE California ZIP CODE 90025-1026  Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-8397	15	Certified Copy of Priority Document(s) (if foreign priority is claimed)
18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  Continuation Of Prior Application No.: Cip of prior application No.: Cip of prior application no. Which is a continuation/ divisional/ Cip of prior application no. Which is a continuation/ divisional/ Cip of prior application no.  Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority. For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  18B. Statement under 37 CFR 3.73(b) for continuing application: The undersigned states that Incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  18B. Statement under 37 CFR 3.73(b) for continuing application: The undersigned states that Incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  Iname of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. Frame No.  Iname of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. Frame No.  Iname of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. Frame No.  Iname of Route of the recorded in the Patent and Trademark Office at Reel. No.  Iname of Route of the recorded i	16.	*
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Of Prior Application No.:  (which is a continuation/ divisional/ CIP of prior application no (List entire chain of priority).  which is a continuation/ divisional/ CIP of prior application no (List entire chain of priority).  Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.  For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  18B. Statement under 37 CFR 3.73(b) for continuing application:  The undersigned states that	18A. If a CON	TINUING APPLICATION, check appropriate box and supply the requisite information:
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  ADDRESS 12400 Wilshire Boulevard  Seventh Floor  CITY Los Angeles STATE California ZIP CODE 90025-1026  Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-9397  Name (PRINT TYPE): John P. Ward Registration No.: 40,216	Of Prior Appli (which is a o which is a o which is a o Applicant(s): A For CONTINUAT an oath or decla continuation or be relied upon v  18B. Statemen The unde the assignee of assignment rec (or a copy of wh  19. Corres  Custon  X Corres	cation No.: Group Art Unit
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Seventh Floor           CITY Los Angeles         STATE         California         ZIP CODE         90025-1026           Country         U.S.A.         TELEPHONE (408) 720-8300         FAX (408) 720-9397           Name (PRINT/TYPE):         John P. Ward         Registration No.: 40,216	u .	
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ADDITIONAL FEES	FEE (	CALCULAT	ΓΙΟΝ (co	ntinued)		
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127   50   227   25   Surcharge - late provisional filling fee or cover sheet   139   130   139   130   Non-English specification   747   2,520   147   2,520   147   2,520   147   2,520   147   2,520   147   2,520   147   2,520   147   2,520   147   2,520   147   2,520   147   2,520   148   113   1,840*   113   1,840*   113   1,840*   113   1,840*   113   1,840*   113   1,840*   113   1,840*   113   1,840*   113   1,840*   113   1,840*   113   1,840*   113   1,840*   113   1,840*   113   1,840*   113   1,840*   114   1,240   216   195   25   25   25   25   25   25   25	105		205			
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116   390   216   195   Extension for reply within second month   117   390   217   445   Extension for reply within fourth month   118   1,390   218   695   Extension for reply within fourth month   128   1,890   228   945   Extension for reply within fourth month   128   1,890   228   945   Extension for reply within fourth month   128   1,890   228   945   Extension for reply within fourth month   128   1,890   228   945   Extension for reply within fourth month   128   1,990   155   Filing a brief in support of an appeal   120   310   220   155   Filing a brief in support of an appeal   121   270   221   135   Request for oral hearing   123   1,510   138   1,510   138   1,510   138   1,510   138   1,510   138   1,510   138   1,510   138   1,510   138   1,510   138   140   242   620   Utility issue fee (or reissue)   142   1,240   242   620   Utility issue fee (or reissue)   143   440   243   220   Design issue fee   122   130   122   130   Patitions to the Commissioner   123   50   123   50   Processing fee under 37 CFR 1.17(q)   126   180   126   180   Submission of Information Disclosure Stmt   126   180   126   180   Submission of Information Disclosure Stmt   126   180   126   180   Submission of Information Disclosure Stmt   126   180   126   126   180   126   126   180   126   126   180   126   126   180   126	113	1,840*	113	1,840*	•	
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188   1,390   218   695   Extension for reply within fourth month   128   1,890   228   945   Extension for reply within fifth month   19310   219   155   Notice of Appeal   120   310   220   155   Filing a brief in support of an appeal   121   270   221   135   Request for oral hearing   138   1,510   138   1,510   Petition to institute a public use proceeding   140   110   240   55   Petition to revive – unavoidable   141   1,240   241   620   Petition to revive – unintentional   142   1,240   242   620   Utility issue fee (or reissue)   143   440   243   220   Design issue fee   144   600   244   300   Plant issue fee   122   130   122   130   Petitions to the Commissioner   123   50   123   50   Processing fee under 37 CFR 1.17(q)   126   180   126   180   Submission of Information Disclosure Stmt   180   Recording each patent assignment per property (times number of properties)   146   710   246   355   For filing a submission after final rejection (see 37 CFR 1.129(a))   179   710   279   355   Request for Continued Examination (RCE)   189   900   169   900   Request for Continued Examination of a design application   196   300   195   300   Publication fee for early, voluntary, or normal publication   196   300   998   130   Processing fee under 37 CFR 1.17(i)   190   1,240   O91   1,240   Acceptance of unintentionally delayed claim for priority   190   1,240   O91   1,240   Acceptance of unintentionally delayed claim for priority   190   1,240   Printed Name:   190   1,240   Printed Name:   190   1,240   190   190   1,240   190   190   190   190   190   190   19	1				• •	
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(see 37 CFR 1.129(a))  149 710 249 355 For each additional invention to be examined (see 37 CFR 1.129(b))  179 710 279 355 Request for Continued Examination (RCE)  169 900 169 900 Request for expedited examination of a design application  195 300 195 300 Publication fee for early, voluntary, or normal publication  196 300 196 300 Publication fee for republication  194 130 194 130 Request for voluntary publication or republication  198 130 098 130 Processing fee under 37 CFR 1.17(i)  1991 1,240 091 1,240 Acceptance of unintentionally delayed claim for priority  Other fee (specify)  Other fee (specify)  SUBTOTAL (3) \$0  *Reduced by Basic Filing Fee Paid  SUBMITTED BY:  Typed or Printed Name: John F Ward  Signature: Date: 40.216  Telephone Number: 408-720-8300						
149	146	710	246	355	For filing a submission after final rejection	
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